

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hambrecht, et al.	Docket No.:	2955/107
Appl. No:	10/632,818	Art Unit:	3691
Filed :	08/04/2003	Examiner:	Campen, K.
Invention:	Auction System and Method for Pricing and Allocation During Capital Formation	Date:	12/4/2008

VIA USPTO ELECTRONIC FILING SYSTEM

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE

Dear Sir:

Applicant respectfully submits this response to the Office Action of October 16, 2008 as follows:

A Listing of Claims begins on page 2 of this paper.

Remarks begin on page 9 of this paper.